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## UPDATE REPORT ON THE CORPORATE RISK REGISTER

To: **Cabinet – 10 September 2015**

Main Portfolio Area: **Corporate Resources**

By: **Cllr Chris Wells, Cabinet Member for Corporate Governance Services**

Classification: **Unrestricted**

Ward: **Not Applicable**

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**Summary:** **To provide a report on the Corporate Risk Register**

### **For Information**

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#### **1.0 Introduction and Background**

1.1 Risk Management is a fundamental element of the Council's arrangements for ensuring goals are achieved and opportunities are taken up. To this end the Council has established its Risk Management Strategy and assigned responsibility to councillors and officers to ensure that the Council uses its resources effectively, and all that can be reasonable done, is done, to mitigate risk.

#### **2.0 The Current Situation**

2.1 The current Corporate Risk Register reflects the Corporate Plan 2012-16 which identified 11 priorities. Following a Peer review in March 2014 it was acknowledged that the Council had too many priorities which subsequently led to a review in August 2014 which reduced the priorities to 4, Environmental, Economic Development, Housing and Communication. However the Corporate Risk Register has not been updated to reflect those new priorities and with a new Council and new Corporate Plan emerging it does now provide an opportunity to review the previous Corporate Risk Register and to determine a risk appetite appropriate to the new Council's goals and aspirations. Consequently a detailed report will follow once the new Corporate Plan has been adopted. In the meantime CMT has reviewed the existing register to ensure that it remains relevant and up to date and to coincide with the timetable of the Council's Annual Corporate Governance Statement it is appropriate that the Corporate Risk Register be presented to Cabinet.

#### **2.2 Updated Corporate Risk Register**

A summary of the updated Corporate Risk Register follows, the full detail for each individual risk is attached in Annex 1:

Ref	Description	P	I	Risk Score
R01.01	Too Many Priorities	4	3	12 High
R04.01	Health and Safety at Work	3	4	12 High

R09.01	Major Project Management	3	4	12	High
R04.06	Information Management Practice	3	3	9	Medium-high
R05.01	Reputation and Public Perception	3	3	9	Medium-high
R01.02	Challenging Economic Climate	4	2	8	Medium-high
R09.04	Local Plan Delivering Sustainable Development	2	4	8	Medium-high
R06.04	Political Leadership	2	4	8	Medium-high
R07.01	Business Continuity	2	3	6	Medium-high
R04.09	Safeguarding Vulnerable People	2	3	6	Medium-high
R04.07	Major Emergency	2	3	6	Medium-high
R03.02	Partnerships	2	2	4	Medium-low

### 2.2.1 Removed from the Risk Register

Three risks were removed from the Corporate Risk Register this quarter as they are being effectively managed at the operational level:

- Increasing Customer Demands;
- Service Failure or Closure;
- Value for Money of Resources.

Additionally, two risks have been combined with another very similar risk:

- Political Astuteness and Political Leadership have been merged to reflect the Council's opportunity of influence within Kent and its partners;
- Continuity of Staff and Business Continuity have been merged as the mitigating actions are similar.

### 2.2.2 Risks reduced

One risk has a reduced score as follows:

- Business Continuity now has a probability of 2 and an impact score of 3 total score 6 which is reduced from 9. It is anticipated that this will be revised again following the successful appointment to key vacant posts.

### 2.2.3 Risks Increased

No risk scores have increased this quarter.

### 2.2.4 New Risks

No new risks have been added in this period

### 2.2.5 Amended Risks

There were no other notable amendments this quarter.

## 3.0 Options

- 3.1 That Members note the content of this update report on the Corporate Risk Register and identify any issues on which they require more clarification.

## 4.0 Next Steps

- 4.1 The Corporate Risk Register will continue to be reviewed regularly and the scores of individual risks reviewed as circumstances change. Following adoption of the New Corporate Plan a more detailed review of the Corporate Risk Register will be undertaken and brought back to Cabinet at a future date.

## 5.0 Corporate Implications

## 5.1 Financial and VAT

5.1.1 Whilst the Corporate Risk Register includes a comprehensive review of corporate financial risks, there are no direct financial implications resulting from the recommendation in this report.

## 5.2 Legal

5.2.1 Whilst the Corporate Risk Register includes consideration of legal matters in as far as they relate to risks to the Council, there are no legal implications resulting from the recommendation in this report.

## 5.3 Corporate

5.3.1 The Corporate Risk Register sets out how the Council will seek to control the risks it faces. The approach suggested is in accordance with the requirements of the Council's constitution and agreed Risk Management Framework.

## 5.4 Equity and Equalities

5.4.1 There are no equity or equalities issues arising from this report. The risk register identifies a number of activities designed to control risks and these will each need to be assessed for equality impact in their own right.

## 6.0 Recommendation(s)

6.1 That Members note the content of this report.

## 7.0 Decision Making Process

7.1 This recommendation does not involve the making of a key decision and may be taken by Cabinet.

7.2 This report will be considered by the Governance and Audit Committee on 24 September 2015.

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Reporting to:	Tim Willis, Director of Corporate Resources DDI 01843 577617

### Annex List

Annex 1	Corporate Risk Register – August 2015
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### Background Papers

Title	Details of where to access copy
Risk Management Strategy	Intranet

### Corporate Consultation Undertaken

Finance	Nicola Walker, Head of Financial Services
Legal	Tim Howes, Head of Legal and Democratic Support and Monitoring Officer